

### Service Charges

  

### 服務收費

#### **Hong Kong Securities Service Charges 港股服務及收費**

Transaction Type 項目	Charge 收費詳細
<b>General Charges 一般收費</b>	
Brokerage 經紀佣金	Will be determined and agreed between customer & Linkways 由客戶跟本公司商議及確認
Stamp Duty 政府印花稅	0.1% of consideration 成交金額之 0.1% <i>Min. HK\$1 (Any fraction of \$1 in Stamp Duty payable shall be rounded up to the nearest \$1) 最低收費 HK\$1 (不足 HK\$1 之印花稅作 HK\$1 計)</i>
Transaction Levy (Securities and Bonds) 交易徵費 (股票及債券)	0.0027% of consideration 成交金額之 0.0027%
FRC Transaction Levy 財匯局交易徵費	0.00015% per side of the consideration (rounded to the nearest cent) 買賣雙方須分別繳納每宗成交金額之 0.00015% (計至最接近的仙位數)
Trading Fee 交易費	0.00565% of consideration (rounded to the nearest cent) 成交金額之 0.00565% (計至最接近的仙位數)
CCASS Fee 中央結算費	0.01% of Transaction Amount (0.0042% is collected by HK Clearing) 成交金額之 0.01% (0.0042% 代香港結算收取)
Scrip Fee 股票過戶	HK\$1.5 per board lot 每手股票 HKD\$1.5
Financial Transactions Tax (Applies to Italian resident companies, e.g. #1913) 意大利金融交易稅 (適用於由常駐意大利公司發行的股份, 如 #1913)	Net trade balance (positive) x Weighted Average Price of purchases x Tax Rate Tax Rate: 0.20% (The tax rate will subject to the announcement by the relevant parties) 股份交易淨額 (正數) x 交易日的加權平均購買價 x 稅率 稅率為 0.20% (稅率以相關機構公佈準)
Stock Custody Fee 股票託管費	Free 免費
IPO 代客戶申請新股	HK\$100 per application 每宗 HK\$100
Margin Account Financing Interest 保證金帳戶借貸利息	Prime lending rate* + 8% per Annum (Except for special agreement) 最優惠利率*加 8% (特別協議者除外)
Cash Account and Custodian Account Overdue Interest 現金帳戶及託管帳戶結欠利息	Prime lending rate* + 5% per Annum (Except for special agreement) 最優惠利率*加 5% (特別協議者除外)
<b>Scrip Handling and Settlement-related Services 處理實物股票及交收服務</b>	
CCASS Settlement Instruction Stock Withdrawal 中央結算系統的交收指示提取股票 (SI)	0.01% of stock value 每宗交易 0.01% <i>Min. HK\$20, Max. HK\$1,000 最少 HK\$20, 最高 HK\$1,000</i>
Stock Deposit (through CCASS) 股票存入 (經中央結算有限公司)	Free 免費
Stock Withdrawal (through ISI) 股票提取 (經投資交收指示)	0.01% of stock value 每宗交易 0.01% <i>Min. HK\$20, Max. HK\$1,000 最少 HK\$20, 最高 HK\$1,000</i>
Stock Deposit (through ISI) 股票存入 (經投資交收指示)	Free 免費
Physical Scrip Withdrawal 提取實物股票	\$5 per board lot, plus Administration fee \$50 每手股票 HK\$5, 另加手續費 HK\$50
Physical Scrip Deposit 存入實物股票	\$5 for each transfer deed 每張股票或轉手紙 HK\$5
<b>Dividend and Bonus 股息服務</b>	
Cash Dividend 收取現金股息	0.5% of dividend collected 代收金額之 0.5% <i>Min. HK\$20 最少 HK\$20</i>
Scrip Dividend 以紅股代息	HK\$2 per board lot 每手股票 HK\$2 <i>Min. HK\$20 最少 HK\$20</i>
Bonus Collection/Bonus Warrant Collection 代收紅股/代收紅利認股證	HK\$2.5 per board lot, plus Admin fee HK\$50 每手股票 HK\$2.5, 另手續費 HK\$50
<b>Corporate Actions 股權事項</b>	
Share Subdivision / Share Consolidation / Re-Organisation 代客戶處理一切有關股票拆細、合併和重組等事項	HK\$50 per transaction 每次 HK\$50
Rights / Warrants Subscription 代客戶處理一切有關供股/行使認股權証	HK\$1.5 per board lot, plus Admin fee HK\$50 每手股票 HK\$1.5, 另手續費 HK\$50 <i>Min. HK\$100, Max. HK\$10,000 最少 HK\$100, 最高 HK\$10,000</i>
Privatisation / Cash Offer 私有化、現金收購等事項	HK\$1.5 per board lot, plus Admin fee HK\$50 每手股票 HK\$1.5, 另手續費 HK\$50

Claim of Dividend / Bonus shares 代追股息／紅股	0.5% of dividend collected, plus Admin fee HK\$500 代收金額之 0.5%，另手續費 HK\$500 Min. HK\$20 最少HK\$20
General Meeting 股東大會	Attend or authorize a third party to attend the Annual General Meeting of stockholders. Entrust Linkways Investment Management Limited to vote on behalf of the stockholder. Administration fees: HK\$200 per application. 出席或授權第三方出席股東大會或委托嶺利投資管理有限公司投票，行政費：每筆申請 HK\$200
<b>Registration, Transfer and Other Charges 股票登記、過戶及其他收費</b>	
Statement Re-print 重印月結單	Within 3 months - Free, For past 3 months HK\$100 per months 最近三個月 - 免費，多於三個月 - 每月 HK\$100
Inactive Account Maintenance Fee (No Trading more than 1 year) 不活躍賬戶月度管理費（在 1 年內未進行過交易）	HK\$1,000 per year 每年 HK\$1,000
Bounced Cheque 支票退回	HK\$300 per transaction 每宗 HK\$300
Cheque issuance 過戶支票	Free 免費
Telegraphic Transfer Charges 電匯費用	HK\$150 per remittance plus bank charges HK\$150 加銀行實收費用
CHATS / Bank Transfer 電子現金付款／銀行轉帳	HK\$50 per transaction 每次 HK\$50

#### Bond Service Charges 債券服務及收費

Transaction Type 項目	Charge 收費詳細
<b>General Charges 一般收費</b>	
Custody Fee 託管費	0.05% of nominal value per annum, charged semi-annually 債券票面值的 0.05%年率，每半年收取 Min. HK\$150 / US\$20 for half-year 最低每半年 HK\$150 / US\$20
Coupon Interest Collection Fee 債券利息手續費	0.25% of the interest amount 債券利息之 0.25% HKD Bond: Min. HK\$50, Max. HK\$1,000 最少HK\$50，最高HK\$1,000 USD Bond: Min. US\$10, Max. US\$150 最少US\$10，最高US\$150
Corporation Action Fee 公司行動	EUR65 per transaction charged in bond base currency 每宗 EUR65 等值債券貨幣
Bonds Deposit 債券存入	HKD Bond: HK\$300, USD Bond: US\$20 港元債券：HK\$300，美元債券：US\$20
Bonds Withdrawal 債券提取	HKD Bond: HK\$300, USD Bond: US\$60 港元債券：HK\$300，美元債券：US\$60

#### Shanghai-HK Stock Connect/ Shenzhen-HK Stock Connect Service Charge (ETF & A shares) 滬港通／深港通 A 股及 ETF 服務及收費

Transaction Type 項目	Charge 收費詳細
<b>General Charges 一般收費</b>	
Brokerage 經紀佣金	Will be determined and agreed between customer & Linkways 由客戶跟本公司商議及確認
Handling Fee 經手費	Stock: 0.00487% of Consideration, ETF: 0.004% of Consideration 股票：成交金額之 0.00487%，ETF：成交金額之 0.004%
Securities Management Fee 證管費	Stock: 0.002% of Consideration, ETF: Waived 股票：成交金額之 0.002%，ETF：豁免
Transfer Fee 過戶費	Stock: 0.004% of Consideration (0.002% to be charged under ChinaClear's Shanghai & Shenzhen Stock Connect Rules; and 0.002% to be charged under the General Rules of CCASS.), ETF: Waived 股票：成交金額之 0.004%（0.002%根據中國結算的滬港通／深港通規則支付；及 0.002%根據中央結算系統一般規則支付。），ETF：豁免
Stamp Duty 交易印花稅	Stock: 0.05% of consideration (for sell trade only), ETF: Waived 股票：成交金額之 0.05%（賣方支付），ETF：豁免
Portfolio Fee 組合費	Daily stock portfolio value x 0.01% / 365 (Accrued daily and collected on a monthly basis) 每天之滬深股組合市值 x 0.01%/365（每日計算，按月收取）
Dividend Tax (Applicable to cash dividend and bonus issue) 紅利稅（適用於紅利及送股）	Subject to the latest announcement with State Administration of Taxation 參照國家稅務總局之最新公佈為準
Capital Gain Tax (Applicable to share disposal) 資本增值稅（適用於股份賣出）	Subject to the latest announcement with State Administration of Taxation 參照國家稅務總局之最新公佈為準

#### Hong Kong Stock Options Service Charges 港股期權服務及收費

Transaction Type 項目	Charge 收費詳細
<b>General Charges 一般收費</b>	
Brokerage 經紀佣金	Will be determined and agreed between customer & Linkways 由客戶跟本公司商議及確認
Trading Fees 交易費用	Tier 1: HK\$3.00 per contract per side 第一類：每邊每張合約 HK\$3 Tier 2: HK\$1.00 per contract per side 第二類：每邊每張合約 HK\$1 Tier 3: HK\$0.50 per contract per side 第三類：每邊每張合約 HK\$0.5
Exercise Fee 行使費用	HK\$2.00 per contract 每張合約 HK\$2.00

# US Securities Service Charges 美股服務及收費

Transaction Type 項目	Charge 收費詳細
<b>General Charges 一般收費</b>	
Brokerage 經紀佣金	Will be determined and agreed between customer & Linkways 由客戶跟本公司商議及確認
Transaction Activity Fee 交易活動費	US\$0.000166 per share (Sell orders only) 每股 US\$0.000166 (只按賣單收取) Maximum USD 8.30 per trade 每宗最高 8.30 美元
ADR Custody fee ADR <sup>1</sup> 托管費用 <sup>1</sup>	\$0.02 per share 每股 US\$0.02
Clearing Fee 結算費	US\$0.003 per share (Min. US\$0.50) 每股 US\$0.003 (最少 US\$0.50)
Corporation Action Fee 公司行動	US\$50 per transaction 每宗 US\$50
Stock Custody Fee 股票託管費	Free 免費
Stock Deposit (through DTC) 股票存入 (經美國存管信託公司)	Free 免費
Stock Withdrawal (through DTC) 股票提取 (經美國存管信託公司)	US\$150 per stock per transaction 每隻股票每宗收費 US\$150

# US Stock Options Service Charges 美股期權服務及收費

Transaction Type 項目	Charge 收費詳細
<b>General Charges 一般收費</b>	
Brokerage 經紀佣金	Will be determined and agreed between customer & Linkways 由客戶跟本公司商議及確認
Options Regulatory Fee 期權監管費用	US\$0.02945 per contract 每張合約 US\$0.02945
Consolidated Audit Trail Fees 綜合審計跟蹤費用	US\$0.0035 per contract 每張合約 US\$0.0035
Transaction Activity Fee 交易活動費	US\$0.00279 per share (Sell orders only) 每股 US\$0.00279 (只按賣單收取)
OCC Fees 期權清算費	US\$0.025 per contract 每張合約 US\$0.025

\* For HKD: HSBC's prime lending rate. \*港元：匯豐銀行港元最優惠利率

\* For USD: HSBC's prime lending rate. \*美元：匯豐銀行美元最優惠利率

\* For RMB: Bank of China (Hong Kong)'s prime lending rate. \*人民幣：中國銀行(香港)人民幣最優惠利率

#<sup>1</sup> ADRs have custody fees that are levied on a regular basis. In addition, some ADRs may be subject to dividend distribution fees, ratio change fees, etc. These fees differ by ADR. For specific information, please refer to your ADR prospectus or search through <https://www.sec.gov/edgar/searchedgar/companysearch>.

#<sup>1</sup> ADR 除了會定期收取 ADR 托管費以外，部分 ADR 還會收取股息費用、比例變更費用等等。因各個 ADR 情況有所不同，具體費用資訊請參閱各 ADR 的招股說明書或通過 <https://www.sec.gov/edgar/searchedgar/companysearch> 企業搜尋工具查詢。

Please visit our website or contact your account manager for more details of the latest Fees & Charges.

請瀏覽本公司網頁或聯絡你的客戶經理以查閱本公司之最新收費。

Additional fees may apply. Our company reserves the rights to adjust the charges above without prior notice.

除上述費用外，可能還涉及其他收費。本公司有權隨時調整以上收費及息率，而毋須另行通知。